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CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on March 26, 2002.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Kevin CURTIS

Serial No.:

09/996,823

Filing Date:

November 30, 2001

For:

TUNABLE OPTICAL FILTER

Examiner: Not assigned

Group Art Unit: Not assigned

RESPONSE TO NOTICE TO FILE CORRECTED PAPERS OF APPLICATION

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Corrected Application Papers dated February 25, 2002, attached please find:

- × Corrected drawings.
- × Substitute Specification.
- X Copy of Notice to File Corrected Application Papers.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952**, referencing docket No. <u>4958142000100</u>.

Dated: March 26, 2002

Respectfully submitted,

By:

Raj S. Davé, Ph.D., J.D. Registration No. 42,465

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/996,823

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ADEMAR Morrison & Foerster LLP

11/30/2001

Kevin Curtis

495812000100

CONFIRMATION NO. 2684

FORMALITIES LETTER

OC000000007523358*

Date Mailed: 02/25/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(0));

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE